

FORM PTO-1390 (Modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  
(REV. 11-2000)TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER

003D.0027.U1(US)

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

**10/505303**INTERNATIONAL APPLICATION NO.  
PCT/EP03/50024INTERNATIONAL FILING DATE  
19 February 2003PRIORITY DATE CLAIMED  
21 February 2002

TITLE OF INVENTION

OPTICAL FERRULE CONNECTOR

APPLICANT(S) FOR DO/EO/US




Bogdan ROSINSKI and Gnitaboure YABRE

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☒ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☒ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 20 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☒ Express Mail Label No. EV 415955699 US
23. ☒ Other items or information:  
**French Search Report**

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.53) <b>10/505303</b>		INTERNATIONAL APPLICATION NO. <b>PCT/EP03/50024</b>		ATTORNEY'S DOCKET NUMBER <b>003D.0027.U1(US)</b>																																																																
24. The following fees are submitted: <b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :</b> <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... <b>\$1080.00</b> <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... <b>\$920.00</b> <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... <b>\$770.00</b> <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... <b>\$730.00</b> <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... <b>\$100.00</b> <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<b>CALCULATIONS PTO USE ONLY</b>																																																																
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).				<b>\$920.00</b>																																																																
<table border="1" style="width:100%; border-collapse: collapse;"><thead><tr><th style="width:15%;">CLAIMS</th><th style="width:25%;">NUMBER FILED</th><th style="width:25%;">NUMBER EXTRA</th><th style="width:15%;">RATE</th><th style="width:20%;"></th></tr></thead><tbody><tr><td>Total claims</td><td>10 - 20 =</td><td>0</td><td>x \$18.00</td><td style="text-align: center;"><b>\$0.00</b></td></tr><tr><td>Independent claims</td><td>1 - 3 =</td><td>0</td><td>x \$86.00</td><td style="text-align: center;"><b>\$0.00</b></td></tr><tr><td colspan="4">Multiple Dependent Claims (check if applicable). <input type="checkbox"/></td><td style="text-align: center;"><b>\$0.00</b></td></tr><tr><td colspan="4" style="text-align: right;"><b>TOTAL OF ABOVE CALCULATIONS =</b></td><td style="text-align: center;"><b>\$920.00</b></td></tr><tr><td colspan="4"><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.</td><td style="text-align: center;"><b>\$0.00</b></td></tr><tr><td colspan="4" style="text-align: right;"><b>SUBTOTAL =</b></td><td style="text-align: center;"><b>\$920.00</b></td></tr><tr><td colspan="4" style="vertical-align: top;">Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).</td><td style="text-align: center; vertical-align: top;"><b>\$0.00</b></td></tr><tr><td colspan="4" style="text-align: right;"><b>TOTAL NATIONAL FEE =</b></td><td style="text-align: center;"><b>\$920.00</b></td></tr><tr><td colspan="4" style="vertical-align: top;">Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input type="checkbox"/></td><td style="text-align: center; vertical-align: top;"><b>\$0.00</b></td></tr><tr><td colspan="4" style="text-align: right;"><b>TOTAL FEES ENCLOSED =</b></td><td style="text-align: center;"><b>\$920.00</b></td></tr><tr><td colspan="4" rowspan="2"></td><td style="text-align: center;">Amount to be: refunded</td><td style="text-align: center;">\$</td></tr><tr><td style="text-align: center;">charged</td><td style="text-align: center;">\$</td></tr></tbody></table>				CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		Total claims	10 - 20 =	0	x \$18.00	<b>\$0.00</b>	Independent claims	1 - 3 =	0	x \$86.00	<b>\$0.00</b>	Multiple Dependent Claims (check if applicable). <input type="checkbox"/>				<b>\$0.00</b>	<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$920.00</b>	<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				<b>\$0.00</b>	<b>SUBTOTAL =</b>				<b>\$920.00</b>	Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).				<b>\$0.00</b>	<b>TOTAL NATIONAL FEE =</b>				<b>\$920.00</b>	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input type="checkbox"/>				<b>\$0.00</b>	<b>TOTAL FEES ENCLOSED =</b>				<b>\$920.00</b>					Amount to be: refunded	\$	charged	\$		
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a. <input checked="" type="checkbox"/> A check in the amount of <b>\$920.00</b> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <b>50-1924</b> d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.																																																																				
<b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.																																																																				
<b>SEND ALL CORRESPONDENCE TO:</b>																																																																				
<table border="1" style="width:100%; border-collapse: collapse;"><tr><td style="width:50%; vertical-align: top;">Customer No. 29683  <b>HARRINGTON &amp; SMITH, LLP</b> 4 Research Drive Shelton, CT 06484-6212 (203) 925-9400</td><td style="width:50%; vertical-align: top;"><div style="text-align: center;"> SIGNATURE  <b>Mark F. Harrington</b> NAME  <b>31,686</b> REGISTRATION NUMBER  <b>August 20, 2004</b> DATE</div></td></tr></table>						Customer No. 29683  <b>HARRINGTON &amp; SMITH, LLP</b> 4 Research Drive Shelton, CT 06484-6212 (203) 925-9400	<div style="text-align: center;"> SIGNATURE  <b>Mark F. Harrington</b> NAME  <b>31,686</b> REGISTRATION NUMBER  <b>August 20, 2004</b> DATE</div>																																																													
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10/505303

## CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Rosinski et al.

DT09 Rec'd PCT/PTO

Docket No.

20 AUG 2004  
003D.0027.UI(US)

Serial No.

Filing Date  
Herewith

Examiner

Group Art Unit

Invention: OPTICAL FERRULE CONNECTOR

I hereby certify that the following correspondence:

New National Stage Application Transmittal letter (including copies of International Application, International Preliminary Examination Report, International Search Report); Preliminary Amendment; Information Disclosure Statement, PTO 1449, references; Check in the amount of \$920.00

*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

August 20, 2004*(Date)*Elaine Mian*(Typed or Printed Name of Person Mailing Correspondence)*  
*(Signature of Person Mailing Correspondence)*EV 415955699 US*("Express Mail" Mailing Label Number)*

Note: Each paper must have its own certificate of mailing.